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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner: To Be Assigned

Docket No. 51810-1050

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RECEIVED

In Re Application of: Thomas L. Rainey

Serial No.: 09/339,132

Filing Date: June 24, 1999

For:

Segmental Retaining Wall System

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

This information disclosure statement is filed in accordance with 37 C.F.R. §§ 1.56, 1.97, and 1.98. Listed on the accompanying form PTO-1449 are documents which may or may not be considered material to the examination of this application. As required by 37 C.F.R. §1.98(a), a legible copy of each document is provided.

The following rights are reserved by the Applicant(s): to establish the patentability of the claimed invention over any of the listed documents should they be applied as reference, and/or to prove that some of these documents may not be prior art, and/or to prove that some of these documents may not be enabling for the teachings they purport to offer.

This statement should not be construed as a representation that an exhaustive search has been made, or that information more material to the examination of the present application does not exist. The Examiner is specifically requested not to rely solely on the materials submitted herewith. The Examiner is requested to conduct an independent and thorough review of the documents, and to form independent opinions as to their significance.

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449 to indicate the documents have been considered.

Respectfully submitted,

THOMAS, KAYDEN, HORSTEMEYER & RISLEY, L.L.P.

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Docket: 51810-1040

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to:

Assistant Commissioner for Patents Box: IDS Washington, D.C. 20231

on Oct. 5, 1999.

Allison M. Olson

In Re Application of: Thomas L. Rainey

Serial No.: 09/339,132

Filing Date: June 24, 1999

For: Segmental Wall Block Design

OCT 0 8 1999

Examiner: To Be Assigned

Docket No. 51810-1040

The following is a list of documents enclosed:

Return Postcard Information Disclosure Statement Form PTO 1449 (3 Pages) 28 Prior Art Patents